



DT03 Rec'd PCT/PTO 03 NOV 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

HANS LAUTENSCHLAGER ET AL.

Group Art Unit: Unknown

Serial No.: 10/506,656 (Informal)

Examiner: Unknown

Filed: September 3, 2004

For: BRANCHED ORGANOSILICON-COMPOUNDS AS  
ANTIMISTING ADDITIVES FOR SILICONE COATING  
COMPOSITIONS

Attorney Docket No.: WAS 0653 PUSA

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97(b)**

Mail Stop Amendment  
Commissioner for Patents  
U.S. Patent & Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(b).

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8**

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:

11/01/2004

Date of Deposit

William G. Conger  
Name of Person Signing

Signature

While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

Accompanying the Information Disclosure Statement is the Statement of Dr. Deffner-Lehner describing the content of the references cited in the Information Disclosure Statement.

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

**HANS LAUTENSCHLAGER ET AL.**

By: 

William G. Conger

Reg. No. 31,209

Attorney for Applicant

Date: November 1, 2004

**BROOKS KUSHMAN P.C.**  
1000 Town Center, 22nd Floor  
Southfield, MI 48075-1238  
Phone: 248-358-4400  
Fax: 248-358-3351



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
LAUTENSCHLAGER ET AL. :  
Serial Number : Group Art Unit:  
Filed: :  
For: BRANCHED ORGANOSILICON COMPOUNDS AS ANTIMISTING ADDITIVES  
FOR SILICONE COATING COMPOSITIONS

Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir or Madam:

I, Maria Deffner-Lehner, associated with the preparation and prosecution of the above-identified application, residing at Meisenweg 12, 85591 Vaterstetten, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the enclosed application; International Search Report and in the Office Action of the German Patent and Trademark Office and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

For DE 196 27 022 A1, cited in the German Office Action, an English Derwent Abstract is enclosed.

WO 01/98420 A2, cited in the application, in the German Office Action as well as in the International Search Report, is enclosed.

EP 716 115 A, cited in the application as well as in the International Search Report, is enclosed.

US 6,034,225, cited in the application, is enclosed.

US 6,258,913, cited in the application, is enclosed.

US 6,274,692, cited in the application, is enclosed.

US 5,241,034, cited in the application, is enclosed.

For DE 195 22 144 A, cited in the application, an English Derwent is enclosed.

For DE 196 29 053 A, cited in the application, an English Derwent is enclosed.

US 6,265,497 A, cited in the application, is enclosed.

US 5,691,435 A, cited in the application, is enclosed.

US 3,775,452, cited in the application, is enclosed.

US 5,994,454 A, cited in the International Search Report, is enclosed.

WO 01/98418 A, cited in the International Search Report, is enclosed.


EP 0 694 573 A, cited in the International Search Report, is enclosed.

US 5,079,300 A, cited in the International Search Report, is enclosed.

US 5,548,038 A, cited in the International Search Report, is enclosed.

US 5,760,145 A, cited in the application and in International Search Report, is enclosed.

Signed this <sup>25<sup>th</sup></sup> day of October, 2004.

  
Dr. Deffner-Lehner

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for Form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	10/506,656 (Informal)
		Filing Date	September 3, 2004
		First Named Inventor	Hans Lautenschlager et al.
		Group Art Unit	Unknown
		Examiner Name	Unknwon
1	of	2	Attorney Docket Number
		WAS 0653 PUSA	

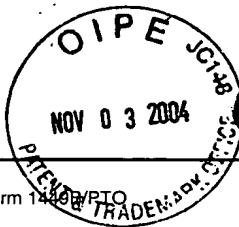
[illegible][illegible]

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



<b>Substitute for Form 1449 PTO</b> <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/506,656 (Informal)
				Filing Date	September 3, 2004
				First Named Inventor	Hans Lautenschlager et al.
				Group Art Unit	Unknown
				Examiner Name	Unknown
Sheet	2	of	2	Attorney Docket Number	WAS 0653 PUSA

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		English Derwent Abstract AN 1997-053209 [06] Corresp. to DE 195 22 144 A1.	
		English Derwent Abstract AN 1998-064258 [07] Corresp. to DE 196 27 022 A1.	
		English Derwent Abstract AN 1998-087924 [09] Corresp. to DE 196 29 053 A1	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.